



Democratic Republic of the Congo
MINISTRY OF INFRASTRUCTURE AND PUBLIC WORKS
INFRASTRUCTURE UNIT



THE GREAT LAKES TRADE FACILITATION AND INTEGRATION PROJECT (GLTFIP)
P174814

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

FINAL VERSION

MAY 2022

- The Democratic Republic of the Congo (hereinafter “the Recipient”) shall implement the Trade Facilitation and Integration Project (GLTFIP) (hereafter “the Project 174814”), under its Ministry of Foreign Trade. The International Development Association (hereinafter “the Association”) has agreed to provide funding for the project.
- The Recipient shall implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
- The Recipient shall also comply with the provisions of any other environmental and social (E&S) documents required under the ESF and referred to in this ESCP and the timeframes indicated in these E&S documents. These E&S documents shall include the Environmental and Social Management Framework (ESMF), the Resettlement Policy Framework (RPF), the Indigenous Peoples Planning Framework (IPPF), the Stakeholder Engagement Plan (SEP), the Labor Management Procedures (LMPs), and the Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH) Prevention and Response Action Plan (SEAH Action Plan). Other specific instruments shall be prepared as needed during the implementation of the project such as Environmental and Social Impact Assessments (ESIAs), Environmental and Social Management Plans (ESMPs), Resettlement Action Plans (RAPs), and Hazardous Waste Plans (HWMPs), Biodiversity Management Plan (BMP), Resettlement Action Plans (RAPs), Indigenous Peoples Plans (IPPs), Grievance Mechanism (GM), Security Management Plans (SMPs).
- The Recipient is responsible for ensuring compliance with all requirements of the ESCP, even when the implementation of certain measures and actions falls under the responsibility of the Ministry, agency or unit referenced in paragraph 1 above or another entity.
- Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Association by the Recipient through the Ministry of Foreign Trade as required by the ESCP and the conditions of the legal agreement, and the Association shall monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
- As agreed by the Association and the Recipient, this ESCP may be revised from time to time during the implementation to reflect adaptive management of project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESCP itself. In such circumstances, the Recipient through the Ministry of Foreign Trade shall agree to the changes with the Association and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through an exchange of signed letters between the Association and the Recipient the Recipient shall promptly disclose the updated ESCP.
- Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include, without limitation, environmental risks such as environmental, health and safety effects, flooding, erosion, the appearance of animal or plant epidemics, the influx of labor, the risk of degradation of natural resources and pollution of the environment (air, soil and water pollution, reduction of vegetation in the event of tree felling and deforestation, nuisances due to activities and construction site waste); risks of disruption of free movement and socio-economic activities; risks of social conflicts in case of non-local employment or non-respect of customs and habits; risks to the health and safety of the populations (emergence of diseases among the populations and workers, accidents related to construction site activities, health risks related to poor management of hazardous waste, including biomedical waste, loss of land, property and sources of income, gender-based violence (sexual harassment, rape, unwanted pregnancies among the beneficiary populations, etc.); and risks related to child labor and forced labor.

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY	
MONITORING AND REPORTING			
A	<p><u>REGULAR REPORTING:</u> Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the project, the implementation of the ESCP, progress in the preparation and implementation of the E&S documents required under the Project, stakeholder engagement activities and the functioning of complaint management mechanisms, including the SEA/HS prevention and mitigation Action Plan.</p>	<p>Quarterly reports throughout the implementation of the project, starting 90 days from the Effective Date.</p>	<p>Cellule Infrastructures (CI), under the Ministry of Infrastructure and Public Works/The Ministry of Foreign Trade/ Trade PMU</p>

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<p>B</p> <p><u>INCIDENTS AND ACCIDENTS:</u> Promptly notify the Association of any incident or accident related to or affecting the GLTFIP activities that has, or is likely to have, a significant adverse effect on the environment, affected communities, the public, or workers, including risks to safety, health and environmental, sexual exploitation and abuse, and sexual harassment, alleged violations of labor laws and working conditions.</p> <p>Any fatal accidents related to the Project or any allegations of gender-based violence related to the Project, including without limitation any allegations of gender-based violence and/or sexual exploitation, abuse and harassment (GBV/SEAH). Subsequently, as per the Association’s request, the Recipient shall prepare a report on the incident or accident and propose measures to prevent its recurrence.</p> <p>Provide sufficient detail regarding the incident or accident, indicating the immediate measures taken to address it, and include information provided by any supplier/service provider or oversight entity, as appropriate.</p>	<p>No later than 48 hours after first becoming aware of the incident or accident, provided however, that any such incident or accident related to GBV/SEAH shall be reported to the Association within 24 hours.</p> <p>Provide the Association with a detailed report no later than 7 days from first becoming aware of the incident or accident.</p>	<p>CI, Trade PMU</p>
<p>C.</p> <p><u>MONTHLY REPORTS ON WORKS IMPLEMENTATION</u> Require supervising s engineers to provide monthly monitoring reports to the Project Implementing Unit. Such monthly reports shall be submitted to the Association by the Recipient upon</p>	<p>Monthly report throughout the project in coordination with the project monitoring report.</p>	<p>Supervising engineer CI, Trade PMU</p>

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
request.		
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
<p>1.1.1 <u>ORGANIZATIONAL STRUCTURE:</u></p> <p>Establish and maintain an organizational structure with appropriate staff and resources to support the management of environmental and social risks including those related to SEAH.</p> <p>Cellule Infrastructures (CI), under the Ministry of Infrastructure and Public Works shall be the PIU responsible for managing Component 2 and the Trade PMU, under the Ministry of Foreign Trade, for managing Components 1, 3 and 4 of the GLTFIP. The CI shall sign collaboration protocols with the Trade PMU.</p> <p>The CI shall hire and maintain one Environmental Safeguards Specialist, one Social Safeguards Specialist, one Gender-Based Violence Specialist (GBVS), and one Community Engagement Specialist. At the provincial levels, one environmental specialist, and one social development specialist with a background/experience in GBV/SEAH risk monitoring, prevention, and response, shall be recruited. The social development specialist should have expertise in land acquisition and resettlement. The 2-person team unit shall be established within the Provincial implementing Units in each of two provinces.</p> <p>The CI and Trade PMU shall ensure that any international</p>	<p>The organizational structure at Provincial level shall be established within 90 days from the Effective Date and maintained throughout the implementation of the project.</p> <p>The collaboration protocol to coordinate implementation of activities is being discussed and shall be signed between CI and the Trade PMU by effectiveness.</p>	<p>CI, Trade PMU</p> <p>CI, Trade PMU</p>

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	organization such as COMESA, involved in in the implementation of the project complies with the requirements of the ESMF and the Association's environmental and social standards (ESSs) relevant to the project.	
1.1.5	The Recipient shall establish and sign a collaboration protocol with the North and South Kivu Provincial Environmental Coordinators (PEC) to formalize their participation in the periodic supervision and monitoring of the E&S documents required under the Project, stakeholder engagement activities and the functioning of complaint management mechanisms, including the SEA/HS prevention and mitigation Action Plan	The collaboration protocol shall be signed before the start of activities under these components 1-4 and maintained throughout the implementation of the project
1.2	<u>ENVIRONMENTAL AND SOCIAL ASSESSMENT:</u> Assess the E&S risks and impacts of the Project in accordance with the Environmental and Social Management Framework (ESMF). In accordance with the ESSs and to the satisfaction of the Association, prepare, disclose, consult upon, adopt and thereafter implement the EMSF and the following instruments:	The Recipient has drafted and consulted upon the draft ESMF, which shall be finalized cleared, adopted and disclosed prior to completing appraisal.
	Regional assessment and SEAH Prevention and Response Action Plan (SEAH Action Plan), both as sections of the ESMF	
	ESIA for the Kavimvira Border Post	The Recipient has drafted and consulted upon the ESIA for the Kavimvira Border Post, which shall be finalized, cleared, adopted and disclosed prior to completing appraisal.
	ESIA for the rehabilitation of Kavimvira-Uvira Road	The Recipient has drafted the ESIA of ESIA for the

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	rehabilitation of Kavimvira-Uvira Road, which shall be finalized, cleared, adopted and disclosed prior to completing appraisal.	
	<ul style="list-style-type: none"> - Resettlement Policy Framework (RPF) - Indigenous Peoples Planning Framework (IPPF) - Stakeholder Engagement Plan (SEP) including a Grievance Redress Mechanism (GRM) - Labor Management Procedures (LMP) - Security assessment 	The Recipient has drafted the RPF, IPPF, SEP and LMP consulted upon, which shall be finalized, cleared, adopted and disclosed prior to completing appraisal.
	Main ESIA, ESMPs and RAPs as well as the E&S aspects in the bidding documents, contracts, and C-ESMPs for the following subprojects including associated facilities shall be drafted, disclosed, adopted, in a manner acceptable to the Association: ESIA port investments and ESIA for access roads.	All site-specific instruments/documents (ESIAs, ESMPs and RAPs) to be completed before the start of the corresponding activities as well as the E&S aspects in the bidding documents, contracts, and C-ESMPs shall require prior reviews. Once approved, the plans, instruments and documents shall be carried out throughout Project implementation.
	In accordance with the ESSs and ESMF, conduct environmental and social due diligence for quarry sites, sand pits, borrow pits, disposal areas, accommodation camps, induced access roads, and apply the requirements of the project RPF to any permanent or temporary land acquisition associated with these .	Prior to the start of construction
		Contractors/suppliers CI, Trade PMU

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<p>Any area in which civil works will be performed in or around abandoned military camps, checkpoints and trenches, bridges and their surroundings, etc., under the project cleared of unexploded ordnance (UXO) and to this end, prior to commencing civil works for any activity under the project, the recipient shall provide confirmation, in a form and substance satisfactory to the Association, that the area in which such civil works will be performed has been declared safe and clear of UXO.</p>	<p>Site-specific social assessments shall be undertaken at each location prior to the beginning of works</p>	<p>CI, Trade PMU</p>
<p>1.3 <u>MANAGEMENT TOOLS AND INSTRUMENTS:</u></p> <p>Screen any proposed subproject in accordance with ESMF, RPF, SEP, LMP and IPPF prepared for the Project, and thereafter prepare, consult, adopt, disclose and implement, as required, in a manner acceptable to the Association, the following instruments:</p> <ol style="list-style-type: none"> 1. Biodiversity Management Plan (BMP), 2. Resettlement Action Plans (RAPs) for all land acquisition activities, 3. Waste and Hazardous Materials Management Plan, 4. Contractor -ESMP, 5. Indigenous Peoples Plans (IPPs) for if needed for any activities in which IP groups are present, 6. Asbestos and other fibrous materials Management Plan (AMP), 7. Pest and Pesticide Management Plan as part of the ESMF, 8. Dredging, Soil and Drainage Management Plan which includes control procedures for re-suspension, sedimentation, run-off, and erosion; 9. Fuel and Chemical Spill Prevention and 	<p>The, BMP, RAP, or IPP (as needed) shall be developed upon identification of subproject, and prior to implementation of the relevant activities and maintained throughout the implementation of the Project. These documents shall be consulted, approved and disclosed prior to the implementation of the corresponding Project activities. These instruments will be prepared before the actual execution of the work on sub-projects</p>	<p>CI, Trade PMU</p>

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<p>Contingency plans</p> <p>9. The ToRs for the conditional subsidies Manual, and business plans that integrates environmental and social aspects</p> <p>10. Security Management Plan (SMP)</p> <p>11. Hazardous Waste Management Plan (HWMP), if required</p> <p>As the port and the cross-border market are expected to cumulatively lead to an increase in trade transactions between DRC, Burundi and Rwanda, measures shall be developed as part of the ESIA/ESMP and implemented by port management and local authorities to handle increased transboundary trade and to prevent illegal activities (such as illegal trade and smuggling).</p> <p>The environmental and social aspects of the Project Implementation Manual (PIM) including the implementation arrangements with ACE shall be developed.</p>		CI, Trade PMU
<p>1.4</p> <ul style="list-style-type: none"> - MANAGEMENT OF SUPPLIERS AND SERVICE PROVIDERS: - Incorporate relevant aspects of the ESCP, including relevant environmental and social documents and/or plans, as well as labor management procedures and codes of conduct that describe SEAH actions that are prohibited along with SEAH risk mitigation measures addressed in the SEAH Action Plan, into the EHS specifications in the tender documents provided to contractors. Then, ensure that contractors and subcontractors comply with the EHS specifications of their respective contracts. 	<p>Prior to the preparation of procurement documents</p> <p>Supervise contractors/subcontractors throughout the implementation of the Project</p>	CI, Trade PMU trade

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<ul style="list-style-type: none"> - The project shall require the development and implementation of the following procedures applicable to contractors and subcontractors and other service providers: - Contractor ESMP (for the Construction Site, including Health, Safety and Hygiene Plan and COVID-19 Prevention Plan, which shall also include a worker’s GRM internal to the contractor as part of the overall GRM) - Specific E&S procedures and requirements for main suppliers - Minimum environmental and social clauses to be included in ToRs and Bidding Documents for works contracts and supervision contracts (codes of conduct, coordination, reporting and monitoring, Grievance Redress Mechanisms (GRM) sensitive to SEA/SH, and regular training to all staff on SEA/SH risks, including recycling sessions on a quarterly basis; - Social commitments through the Codes of Conduct on the prohibition of child labor, forced labor and other elements of GBV that shall be identified in the SEA/SH Action Plan; - Codes of conduct and Internal rules and regulations 		
<p>1.5 <u>PERMITS, CONSENTS AND AUTHORIZATIONS:</u> obtain or assist in obtaining, as appropriate, permits, consents and authorizations for the GLTFIP under applicable environmental and social legislation from the relevant national or provincial authorities.</p>	<p>Prior to launching works Thereafter, comply with those permits, consents, and authorizations throughout Project implementation.</p>	<p>CI, Trade PMU</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.6	<p>EXCLUSIONS: Exclude the following types of activities as ineligible for funding under the project:</p> <ul style="list-style-type: none"> - Activities that may result in long-term, permanent and/or irreversible negative impacts (e.g. loss of major natural habitat), - Activities that have a high probability of causing serious adverse effects on human health and / or the environment, - Activities that may have significant negative social impacts and may give rise to significant social conflicts - All other activities which the ESMF excludes from the Project as ineligible. 	During the above assessment process under Action 1.2.	CI, Trade PMU
1.7	<p><u>THIRD PARTY MONITORING:</u></p> <p>The Beneficiary must hire national or international experts to examine, complete and verify the monitoring of the environmental and social risks and effects of the project, including, but not limited to, the monitoring of risks related to gender-based violence (GBV).) / SEA / SH as part of the project.</p>	Throughout the implementation of the Project Hire the necessary experts no later than the beginning of the second year of Project implementation	CI, Trade PMU
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p><u>LABOUR MANAGEMENT PROCEDURES:</u> The Government of DRC and Burundi shall develop joint labor management procedures in accordance with national law and ESS 2, taking into account the recruitment and employment management process</p>	Upon approval of the Project by the World Bank Board of Directors	CI, Trade PMU Supervision Engineer

	<p>within the Project. These procedures shall be set forth in a document entitled Labor Management Procedures (LMP).</p> <p>These procedures shall also include provisions for the use of Congolese and foreign workers (skilled and unskilled) in accordance with the Labor Code. And ESS2 These clauses shall be included in supplier and subcontractor contracts, prohibit child and forced labor, and guarantee the right to form a union. These clauses shall also protect vulnerable people such as women and people with disabilities. These clauses shall prohibit suppliers and subcontractors from behaving in a way that exacerbates the risks of GBV/SEA and SH by requiring Project workers to sign a code of good conduct.</p>		Providers/suppliers
2.2	Ensure that contractors/suppliers give preference to the recruitment of local labor, with equal competence. In addition, they shall ensure a 30% quota for the recruitment of women within the companies.	Before the start of the sub-projects' work	
2.3	Ensure the signing of contracts with Direct Workers (e.g., contracted personnel of the PIU) and with Contractual Workers (e.g., suppliers and subcontractors) by ensuring that the work clauses comply with the national framework (compliance with the Labor Code, endorsement of the contract at the National Employment Office, compliance with the application of the Guaranteed Minimum Interprofessional Wage (SMIG), payment of the workers' contribution to the National Social Security Fund (CNSS), etc.) and with ESS 2.	<ul style="list-style-type: none"> - Prior to the commencement of work for Project personnel, and - Before employees begin work for suppliers/contractors and subcontractors 	CI and Trade PMU with support of the National Office of Employment
2.4	<u>GRIEVANCE REDRESS MECHANISM FOR PROJECT WORKERS:</u> Ensure that the Project Coordination Unit prepares a global Grievance Redress Mechanism (GRM) that it shall make available to suppliers/contractors and subcontractors. The GRM (including a GBV sub-committee) shall cover all issues related to	Before the start of sub-project activities.	CI, Trade PMU and suppliers/providers

	<p>labor or employment, GBV/SEA/SH risk mitigation, the fight against all forms of discrimination, the workers' union, etc.</p> <p>The project's GRM, which shall be easily accessible to the project's workers, shall comply with the ESS 2 and the DRC's social legislation (Labor Code). In the absence of a union, providers/suppliers shall also need to set up a GRM that complies with the overall Project GRM to manage their internal issues within the Enterprises.</p>		
2.5	<p><u>OCCUPATIONAL HEALTH AND SAFETY MEASURES</u> Implement the Occupational, Health and Safety (OHS) measures specified in the ESMF, including measures related to COVID-19, Phyto-sanitary and zoonotic aspects.</p>	<p>Prior to the implementation of subprojects.</p> <p>These measures shall apply as soon as the Project comes into effect and are maintained throughout the implementation of the Project.</p>	<p>Suppliers / Providers</p> <p>CI, Trade PMU</p>
2.6	<p><u>MANDATORY UNDERTAKING OF JOB SAFETY ANALYSIS (JSA) For HIGH-RISK TASKS AND WEARING OF PERSONAL PROTECTIVE EQUIPMENT (PPE):</u> Ensure that Project suppliers/contractors undertake a Job Safety Analysis as part of their OHS plans and provide their workers and visitors with personal protective equipment (PPE), including those for the control of COVID-19, prior to accessing work sites and associated facilities. In addition, they must ensure that all workers are required to wear the appropriate PPE on work sites and associated facilities.</p>	<p>Prior to the start of civil works. These measures shall be implemented and maintained throughout the implementation of the Project</p>	<p>Suppliers / service Providers</p> <p>CI, Trade PMU</p>
2.7	<p><u>EMERGENCY PREPAREDNESS AND RESPONSE:</u> Ensure that the project suppliers/providers prepare and implement an emergency preparedness and response plan, and coordinate with the measures referred to in section 4.5 below, taking into account the gender aspects and related SEAH risks.</p>	<p>Prior to implementation of subproject and maintain throughout the Project implementation.</p>	<p>Suppliers / Providers</p> <p>CI, Trade PMU</p>

		During the entire project implementation period	
2.8	<p><u>TRAINING OF PROJECT WORKERS:</u> Recipient work with the project's suppliers/service providers, through the CI in collaboration with the Trade PMU, to organize capacity building for Project workers in order to better control the risks of implementing project activities on local populations by focusing on the following topics: pollution and environmental risk management during work related to the project, occupational health and safety, GRM, etc. A specialized NGO that will be recruited before the execution of works on the site to train workers on the following topics: gender-based violence, child labor, STI / HIV / AIDS, GRM - including the related complaints management mechanism gender-based violence; the fight against the COVID-19 pandemic, compliance with the code of conduct, etc.</p>	Before the start of the activities and during the whole project implementation period	CI, Trade PMU and suppliers/providers
<p>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT [the relevance of ESS3 is established during the ESA process. ESS3 may require the adoption of specific measures to cover energy, water and raw materials use, management of air pollution, hazardous and nonhazardous wastes, chemicals and hazardous materials and pesticides. Depending on the project, these measures may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS3-related measures.</p>			
3.1	<p><u>WASTE AND HAZARDOUS MATERIALS MANAGEMENT:</u> Develop and implement a Waste and Hazardous Materials Management Plan (ordinary waste and specific waste, including biomedical waste) on all construction sites including the associated facilities concerned by the Project</p> <p>Ensure that Project suppliers/contractors/microproject proponents develop and implement a site-specific Waste and</p>	Before the start of project activities.	CI, Trade PMU

	<p>Hazardous Materials Management Plan as part of their ESMP, and systematically remove all waste from the sites after completion of the work.</p> <p>For any buildings to be rehabilitated containing asbestos materials, the Recipient shall prepare and implement an Asbestos and other fibrous materials Management Plan (AMP).</p>	<p>During the entire Project implementation period</p> <p>Plan to be developed before the start of the corresponding activities, and thereafter implemented throughout Project implementation period</p> <p>Shall be developed upon identification of subproject, and prior to implementation of the relevant activities (dismantling of the asbestos buildings) and maintained throughout the implementation of the Project</p>	
3.2	<p>PEST MANAGEMENT</p> <p>Develop, disclose, consult upon, adopt and implement a Pest and Pesticide Management Plan as part of the ESMF for all agropastoral activities covered by the Project.</p>	<p>The PMP has been drafted as part of the ESMF, which shall be finalized, adopted and disclosed prior to commencement of any works on warehouses under the project.</p> <p>This document shall be maintained throughout the implementation of the Project.</p>	CI, Trade PMU

3.3	<p>RESOURCE EFFICIENCY AND POLLUTION PREVENTION</p> <p>Measures for the efficient use of resources and pollution prevention and management shall be included into the subproject design and set out in the ESIA's & ESMP's set out in 1.2.</p>	<p>During the investment identification phase and prior to their implementation in the field, prepare and submit ESIA's & ESMP's to the Association for approval. Once developed, disclosed, consulted upon and adopted, these shall be executed throughout the implementation of the Project.</p>	CI, Trade PMU
<p>ESS 4: COMMUNITY HEALTH AND SAFETY [the relevance of ESS4 is established during the ESA process. As with ESS3, ESS4 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS4-related measures are covered under an existing document or as stand-alone actions. See <u>examples</u> below].</p>			
4.1	<p><u>TRAFFIC AND ROAD SAFETY:</u></p> <p>Ensure that all specific documents (ESIAs/ESMPs) include measures to address road safety issues, proportionate to the level of the risk associated to the sub-projects.</p> <p>Ensure that Project suppliers/providers develop and implement Traffic and Road Safety measures, as well as all contractors as part of their C-ESMP to be prepared - at least 30 days prior to the start of the works. The suppliers/providers shall fence off their construction sites, mark off and install traffic signs and speed reduction signs.</p> <p>Road safety measures shall also cover the transport of agropastoral products from/to processing, sales and/or conservation sites.</p>	<p>At least 30 days before starting project works. These shall be prepared simultaneously as the preparation and implementation of the ESMPs, and thereafter complied with throughout Project implementation.</p>	CI, Trade PMU

4.2	<p><u>COMMUNITY HEALTH AND SAFETY:</u> The Recipient shall ensure that suppliers/providers develop and implement measures and actions to assess and manage the potential risks and impacts of the Project activities on local populations, including those related to the presence of Project workers and labor influx.</p>	<p>Before the start of sub-project activities.</p>	<p>CI, Trade PMU</p>
4.3	<p><u>RISKS OF GENDER-BASED VIOLENCE</u> An assessment of the risks of gender-based violence (GBV) shall be conducted prior to the project evaluation.</p> <ul style="list-style-type: none"> - A GBV risk mitigation plan shall be drafted by a specialized NGO that shall be hired by the effective Date of the Project or six months after Project approval., whichever comes first It shall include the following elements: - An awareness strategy that describes how workers and local communities shall be made aware of the risks of gender-based violence, sexual exploitation and abuse, and sexual harassment, and the responsibilities of workers under the code of conduct; - A GBV risk assessment;A training strategy that describes the responsibilities of workers covered by the code of conduct, the concepts of GBV/SEA/SH, the prohibited behaviors and the sanctions in case of violation; - Procedures for dealing with allegations of gender-based violence: how the project shall provide employees and the local population with information on how to report cases of gender-based violence and violations of the code of conduct to the Grievance Redress Mechanism.- - The gender-based violence service providers to whom victims of gender-based violence shall be referred, and the services they shall offer. 	<p>Conducting a GBV risk assessment before the World Bank evaluates the project</p> <p>The GBV action plan will be ready four months at the latest after the Bank's approval of the Project and disbursement.</p> <p>The codes of conduct (CoC) shall be translated into local languages and signed by the workers and posted on the construction sites and other related facilities. Necessary training shall have been provided prior to the commencement of the GLTFIP activities.</p>	<p>CI, Trade PMU</p>

4.4	<p>Code of conduct : suggest separating this as a stand-alone requirement</p> <p>Recipient shall ensure that all bidding documents (BD), works contracts, or non-consultant service contracts under the Project require suppliers/suppliers, subcontractors, or consultants to adopt a code of conduct that shall be provided to all workers for signature. This Code of Conduct shall apply to contracts or services other than consulting services, commissioned or performed under such contracts or services, shall cover, inter alia, gender-based violence, violence against children, and sexual exploitation and abuse, and shall include a plan of action for their effective implementation, and shall provide for training to that end.</p>		
4.5	<p><u>RISKS OF GENDER-BASED VIOLENCE DURING PROJECT IMPLEMENTATION:</u></p> <p>The GBV Risk Mitigation Plan shall be implemented and updated as needed according to the evolution of conditions in the field within the framework of the sub-projects.</p>	<p>Project Implementation Phase</p> <p>Update the GBV Action Plan every quarter or as needed</p>	<p>CI, Trade PMU</p>
4.6	<p><u>EMERGENCY RESPONSE MEASURES:</u> In accordance with ESS4, prepare and implement an Emergency Action and Response Plan identifying potential emergencies (like earthquakes, fire, collision of ships or construction equipment, accidents with ferries), countermeasures, responsibilities, response equipment, and communication lines, and ensure coordination with the measures outlined in Section 2.77.</p> <p>This plan shall document the Recipient activities, resources, and responsibilities for emergency preparedness and response, including the public dissemination of appropriate information.</p>	<p>By the start of Project activities.</p> <p>Every six months</p>	<p>CI, Trade PMU</p>
4.7	<p><u>SECURITY PERSONNEL:</u></p>	<p>The SRA and any Security</p>	<p>CI, Trade PMU</p>

<p>Recipient shall prepare a Security Risk Assessment (SRA) for each sub-project site where there are some cases of insecurity (e.g., existence of armed groups (rebels), insecurity, etc.). The ERS methodology and process shall be spelled out in the ESMF.</p> <p>Recipient shall prepare and implement a security management plan as a separate document, where the SRA has identified a high risk of security risks.</p> <p>The SRA and its security management plan shall be consistent with the World Bank's Good Practice Guidance on Assessing and Managing the Risks and Impacts of Using Security Personnel.</p> <p>Where the SRA identifies the presence or need for security personnel, the Recipient shall encourage, as necessary to manage Project-related risks:</p> <ul style="list-style-type: none"> - The use of security personnel from the project area; - Recruitment of private security personnel (existing guard services in the project area); and - Use of unarmed security personnel. <p>In addition, before deploying any public (e.g. military, national police) or private security personnel, the Recipient must:</p> <ul style="list-style-type: none"> - Ensure the signing of a written agreement that includes clauses on compliance with the code of conduct and relevant requirements of ESS 4; - Instruct and train security personnel on the use of force and appropriate behavior (as detailed in the Project Implementation Manual), human rights and gender-based violence issues consistent with ESS4 and good international practice; 	<p>Management Plan shall be prepared prior to the start of project activities.</p> <p>The SRA and any Security Risk Management Plan shall be updated during and after project implementation.</p> <p>The SRA and any Security Risk Management Plan shall be updated every four months.</p>	
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	<ul style="list-style-type: none"> - Ensure that public and private security personnel are screened to confirm that they have not engaged in illegal or abusive behavior, including but not limited to gender-based violence or excessive use of force; - The Recipient shall promptly investigate all allegations of illegal or abusive acts by public and private security personnel deployed to protect Project personnel and property; - The Recipient shall take action (or require relevant actors to take action) to prevent recurrence and, if necessary, report illegal and abusive acts to the appropriate authorities. <p>The Recipient shall promptly investigate all allegations of illegal or threatening acts committed by public and private security personnel deployed to protect Project personnel and property; it shall take the necessary steps (or require the relevant parties to take them) to prevent any recurrence of such acts and, if necessary, report such acts to the relevant authorities.</p>		
<p>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT [the relevance of ESS5 is established during the ESA process. If during Project preparation, it is determined that resettlement documents need to be prepared, this should be reflected in the ESCP. See examples below]</p>			
5.1	<p><u>LAND ACQUISITION AND RESETTLEMENT:</u> Prepare, disclose, consult upon, adopt, and implement a Resettlement Policy Framework (RPF) for the Project which shall describe in detail the principles, organizational arrangements, and resettlement design criteria to be applied to the components or subprojects to be prepared during project implementation (see paragraph 25 of ESS 5). Once the individual subprojects or components of the project have been defined and the necessary</p>	<p>The final RPC / PCR should be prepared before the start of the project</p>	<p>CI, Trade PMU</p>

	information is made available, this framework shall be expanded to take into account the potential risks and effects of the project. Project activities expected to result in physical and/or economic displacement shall not begin until these specific plans are finalized and approved by the Association.		
5.2	<u>RESETTLEMENT ACTION PLANS (RAP):</u> Recipient prepare and implement Resettlement Action Plans (RAPs) in accordance with the requirements of the Resettlement Policy Framework (RPF), ESS 5, and national legislation, including the implementation budget and support for resettlement and vulnerable persons. These RAPs shall be validated by the Association and subsequently by the CEA.	Final Draft of the RPC/ RAP, if necessary, shall be completed and implemented prior to the start of Project activities requiring relocation.	CI, Trade PMU
5.3	<u>MONITORING AND REPORTING:</u> Recipient shall ensure the development of a monitoring and regular reporting plan for land acquisition and resettlement activities in accordance with the ESS 5 and the country's social legislation; this plan shall be carried out by the different actors referred to in the RAPs (IC, Resettlement Committee, Grievance Management Committee including GBV, NGOs/consultants, CEA, Resettlement Monitoring Officer, etc.).	As needed, prior to the start of resettlement activities and throughout the implementation period of sub-project activities.	CI, Trade PMU
5.4	<u>GRIEVANCE REDRESS MECHANISM:</u> Recipient shall ensure, through the IU, PMU that RAPs provide details on the Grievance Redress Mechanism, to which complaints and feedback related to involuntary resettlement can also be directed within the framework of the GLTFIP. For GBV aspects, the same principle related to the GBV sub-committee shall be applied throughout the project.	Before the start of resettlement activities of each sub-project. Throughout the Project implementation period. Quarterly reports on the results of the Grievance Redress Mechanism established by the GLTFIP	CI, Trade PMU
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING LIVING NATURAL RESOURCES [the relevance of ESS6			

is established during the ESA process. As with other ESSs, ESS6 may require the adoption of specific measures that may be set out in an E&S document (e.g. ESMP) already mentioned in the section under ESS1 above or as a stand-alone document or a separate action. Indicate whether ESS6-related measures are covered under an existing document or as stand-alone actions. See examples below].

6.1	<p>RISKS AND EFFECTS ON BIODIVERSITY: The ESMF shall spell out procedures for screening and mitigation measures to ensure that Project activities, particularly those related to construction, rural road rehabilitation, and agriculture do not alter or cause the destruction of critical and/or natural habitats.</p>	<p>Procedures to be adopted as part of the ESMF on timeframe specified in 1.2. During the identification phase of the specific investments and prior to the implementation of any field activities, prepare and submit to the Association the ESIA's & ESMPs.</p>	CI, Trade PMU
6.2	<p>Ensure that ESIA's/ESMPs related to port and access road investments identify, assess and propose mitigation measures to manage any risks and impacts on biodiversity, proportionate to the level of the identified risk</p>	<p>same timeline as specific instruments (ESMPs, ESIA's)</p>	CI, Trade PMU
6.3	<p>Subprojects with significant risks and/or adverse impacts on biodiversity and those that would need clearing of any type of forests and protected areas shall be excluded from receiving Project financing. The Recipient shall apply the mitigation hierarchy and the precautionary principle in the design and implementation of projects that may have an impact on biodiversity, and promote the sustainable management of biological natural resources in all areas of the Project. If required by ESS6, the recipient shall develop, disclose, consult upon, adopt and implement a Biodiversity Management Plan (BMP) in accordance with the guidelines of the draft ESMF prepared for the project, and in manner acceptable to the Association.</p>	<p>Same timeframe as for specific instruments (ESMP, ESIA) The BMP, shall be developed, disclosed, consulted upon and adopted prior to the commencement of any Project activities that may affect biodiversity. Once approved, the plan shall be implemented throughout Project implementation</p>	CI, Trade PMU

ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES [See examples of possible actions below, if determined that ESS7 is relevant].

7.1	<p>The government of the DRC will oversee, through the CI, the PMU, the development process in the areas of the activities of the PFCIGL Towns / Cities of Goma, Kasindi, and Bunagana in the Province of North Kivu and Idjwi, Bukavu, Kamanyola, Rubenga, Kiliba, Nyamoma, Uvira in the Province of South Kivu, where the native peoples are present. Thus, in order to comply with ESS 7, prepare an Indigenous Peoples Planning Plan (IPP).</p>	<p>The IPP should be prepared after the validation of the CPPA by the World Bank and the approval of the project by the Board of Directors of the World Bank any Project activities potentially affecting.</p>	CI, Trade PMU
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ESS 8: CULTURAL HERITAGE.

8.1	<p>CHANCE FINDS In accordance with ESS8 and national legislation, prepare, adopt and implement the chance finds procedure. The chance finds chance shall be incorporated into the ESMF and the ESIA/ESMPs. Clauses on such discoveries shall be included in all work contracts under the Project, even in cases where the probability is very low.</p>	<p>The Recipient has drafted and consulted upon the draft ESMF, which shall be finalized cleared, adopted and disclosed prior to completing appraisal, and shall be maintained throughout the implementation of the Project.</p> <p>The Contractors shall have to adopt the procedures before commencing of works and maintain throughout the Project.</p>	CI, Trade PMU
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ESS 9: FINANCIAL INTERMEDIARIES (This standard is not relevant for) the Project.

ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE

10.1	<p><u>PREPARATION OF A SMP:</u> Prepare a Stakeholder Mobilization Plan (SMP), which shall be drafted and disseminated prior to the evaluation of the Project.</p> <p>The Government of DRC, through the IC, Trade PMU, commits to</p> <p>(i) establish a systematic approach to stakeholder engagement that shall enable the implementing agencies to properly identify stakeholders and to develop and maintain a constructive relationship with them, particularly those affected by the project;</p> <p>(ii) assess the level of stakeholder interest and buy-in and allow their views to be taken into account in the design of the project and its environmental and social performance</p> <p>(iii) Encourage the effective engagement of all project-affected parties throughout the life of the project on issues that could potentially affect them and provide the means to do so;</p> <p>(iv) Ensure that stakeholders receive timely, understandable, accessible, and appropriate information about the environmental and social risks and impacts of the project; and</p> <p>(v) Provide a means for project-affected parties to easily raise concerns and complaints and for implementing agencies to respond to and manage them.</p>	<p>During the preparation of the Project and, at the latest, at the evaluation of the GLTFIP</p> <p>This was done in the next version of ESCP.</p>	CI, Trade PMU
10.2	<p><u>IMPLEMENTATION OF THE SMP:</u> The Government of the DRC shall ensure the implementation of the PMPP, which shall be modified and updated (and reissued) as needed during project implementation.</p>	<p>During project preparation, at the start of activities and throughout the Project cycle</p>	CI, Trade PMU
10.3	<p><u>GRIEVANCE REDRESS MECHANISM AT THE PROJECT LEVEL:</u> Develop and operate a Grievance Redress Mechanism for the Project.</p> <p>Develop a communication plan for the Grievance Redress Mechanism to ensure that indigenous peoples and potentially</p>	<p>Before the start of the Project activities.</p> <p>During the whole period of</p>	CI, Trade PMU

<p>affected communities are aware of the existence of the mechanism and how to submit complaints. The GRM shall build, as appropriate, on the GRM of the Great Lakes Trade Facilitation Project, including any lessons learned</p>	<p>execution of the Project</p>	
<p>CAPACITY SUPPORT (TRAINING)</p>		
<p>SPECIFIC TRAINING TO BE PROVIDED</p>	<p>TIMEFRAME</p>	<p>Responsible</p>
<ul style="list-style-type: none"> - Environmental and Social Standards (ESSs) - Stakeholder identification and engagement - Content of the Environmental and Social Commitment Plan (ESCP) - Content of the Stakeholder Engagement Plan (SEP) - Grievance Redress Mechanism; - Landmine and Explosive Remnants of War hazards - Technical quality review of E&S instruments; - Briefing on the ESF and technical workshop on relevant E&S requirements/instruments relevant for an adequate application of the WB ESF; - ESF online training course for Borrowers 	<p>During the preparation of the E&S risk management instruments and before the start of site-specific activities and throughout the implementation of the project (years 1 to 5)</p>	<p>CI, Trade PMU</p>
<ul style="list-style-type: none"> - Module 1. Environmental and social management, design and implementation of the module by integrating at least the following aspects: - Selection process and environmental and social classification of sub-projects in accordance with the requirements of the Environmental and Social Framework (ESF); - Good knowledge of the procedures for organizing and conducting ESIA's; - Environmental and social policies, procedures and legislation in the DRC; - Knowledge of the process for monitoring the implementation 	<p>Throughout the implementation of the project</p>	<p>CI, Trade PMU</p>

<p>of ESIA's and RAPs</p> <ul style="list-style-type: none"> - Knowledge of the Environmental and Social Management Plan (ESMP) of the site 		
<p>Module 2. Health and safety at work including emergency prevention, preparedness and response</p> <ul style="list-style-type: none"> - Risk safety assessment and Personal protective equipment (PPE) - Risk management in the workplace - Prevention of work-related accidents - Health and safety rules - Handling of fire extinguishers - Hazardous waste management (solid and liquid) - Preparation and response to emergency situations - Prevention of the risks of propagation of STDs, STIs and HIV/AIDS 	Throughout the implementation of the project	CI, Trade PMU
<p>Module 3. Employment and working conditions</p> <ul style="list-style-type: none"> - Terms and conditions of employment in accordance with the DRC's national labor laws - Codes of Conduct for suppliers/suppliers and subcontractors - Internal regulations of suppliers/contractors - DRC Labor Code - International Labor Organization (ILO) - Workers' organizations - Rules on child labor and minimum age of employment for children 	Throughout the implementation of the project	CI, Trade PMU
<p>Module 4. Grievance Redress Mechanism, design and realization of the module by integrating at least the following aspects:</p> <ul style="list-style-type: none"> - Registration and processing procedures (including those 	Throughout the implementation of the project	CI, Trade PMU

<p>related to GBV)</p> <ul style="list-style-type: none"> - Complaint resolution procedure - Documentation and processing of complaints - Use of the procedure by different stakeholders - Data collection tools/forms 		
<p>Module 5. Hazardous and biomedical waste management:</p> <ul style="list-style-type: none"> - Risk information and health and safety advice, see the World Bank Group's Environmental Health and Safety Guidelines for the Management of Health Care Facilities, as well as international best practices in this area - Basic knowledge of waste handling and risk management procedures - Wearing of personal protective and safety equipment - Information on the waste separation process - Safe procedures for managing landfilled waste - Emergency and rescue measures 	Throughout the implementation of the project	CI, Trade PMU
<p>Module 6. Risks of GBV</p> <p>Awareness raising, capacity building and measures to prevent and mitigate GBV</p> <p>Care for survivors of gender-based violence:</p> <ul style="list-style-type: none"> - Coordination of GBV activities and the GRM - Themes, activities and target audiences shall be defined in the project's GBV Risk Mitigation Plan 	Throughout the implementation of the project	CI, Trade PMU
<p>Introduction to risk and disaster management</p> <ul style="list-style-type: none"> - Types of hazards and disasters - Disaster Management - Disaster Management Plan preparation and contingency planning 	Throughout the implementation of the project	CI, Trade PMU

- Disaster risk reduction		
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